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ATTORNEY DOCKET NO. 09/776,420  
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of	)	
	)	
SCHULTZ, <i>et al.</i>	)	Art Unit: 3624
	)	
Application No. 09/776,420	)	Examiner: Felten, Daniel S.
	)	
Filing Date: February 2, 2001	)	Confirmation No. 4087
	)	
For: "ELECTRONIC TRANSACTION RECEIPT	)	
SYSTEM AND METHOD"	)	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

NEEDLE & ROSENBERG, P.C.  
Customer Number 23859

Sir:

Pursuant to the requirements of 37 C.F.R. § 1.56, submitted herewith on the accompanying Information Disclosure Statement List is a listing of documents known to Applicants and/or their attorneys. In accordance with 37 C.F.R. § 1.98(a)(2), copies of any cited U.S. patent or U.S. patent application publication documents are not enclosed. Copies of any cited foreign patent document and/or any non-patent publication are enclosed.

In accordance with the provisions of M.P.E.P. § 2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicants would like to bring to the attention of the Examiner the existence of the co-pending patent application(s) identified below, which were filed in the United States Patent and Trademark Office:

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**ATTORNEY DOCKET NO. 01153.0001U3**  
**Application No. 09/776,420**

	<u>Application No.</u>	<u>Date Filed</u>	<u>Inventors</u>	<u>Attorney Docket No.</u>
1.	09/776,412	02/02/2001	Schultz	01153.0001U2
2.	10/153,146	05/22/2002	Schultz	01153.0001U7
3.	10/153,157	05/22/2002	Schultz	01153.0001U6
4.	10/153,160	05/22/2002	Schultz	01153.0001U5

The pending application(s) identified with an asterisk (\*) are stored in the Image File Wrapper (IFW) system of the USPTO. Accordingly, copies of the cited specification(s), including the claims and drawings thereof, are not enclosed in accordance with the waiver to 37 CFR 1.98(a)(2)(iii) dated September 21, 2004.

This Information Disclosure Statement is believed to be filed in a timely manner pursuant to 37 C.F.R. § 1.97(d), in that a final Office Action has been mailed to Applicants. Accordingly, Applicants enclose the fee required under 37 C.F.R. § 1.97(d)(2) and make the statement required under 37 C.F.R. § 1.97(e)(1).

The undersigned hereby certifies that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Consideration of the cited documents and making the same of record in the prosecution of the above-referenced application are respectfully requested.

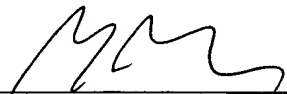
A Credit Card Payment Form PTO-2038 authorizing payment in the amount of \$180.00, representing the fee under 37 C.F.R. § 1.17(p), is enclosed. This amount is believed to be

**ATTORNEY DOCKET NO. 01153.0001U3**  
**Application No. 09/776,420**

correct; however, the Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 14-0629.

Respectfully submitted,

NEEDLE & ROSENBERG, P.C.

  
\_\_\_\_\_  
Gregory J. Kirsch  
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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence, including any items indicated as attached or included, is being transmitted by First Class U.S. Mail in an envelope addressed to: Examiner **Daniel S. Felten**, Art Unit **3624**, Commissioner for Patents, Post Office Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

  
\_\_\_\_\_  
Gregory J. Kirsch

7 NOV 2005  
Date



(Use as many sheets as necessary)

Complete if Known

Application Number	09/776,420
Filing Date	February 2, 2001
First Named Inventor	Schultz
Group Art Unit	3624
Examiner Name	Felten, Daniel S.

[illegible]

Examiner's Initials	Cite No.	Foreign Patent Document Country Code-Number-Kind Code	Date	Name	Translation Yes/No
	1.	WO 99/07121	06/10/1999	CustomTracks Corporation	
	2.	WO 99/46706	09/16/1999	Katz	
	3.	WO 99/28861	02/11/1999	NetAdvantage Corporation	

Examiner's Initials	Cite No.	Non-Patent Citations (include Author, Title, Publisher, Relevant Pages, Date and Place of Publication)
	4.	ALLEN, CLIFF: "Internet World guide to one-to-one marketing," 1998; John Wiley & Sons, Inc., Canada, XP002335192

Date Considered:

**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.